



## City and County of Swansea

### Notice of Meeting

You are invited to attend a Meeting of the

## Audit Committee

**At:** Committee Room 5, Guildhall, Swansea  
**On:** Tuesday, 10 December 2019  
**Time:** 2.00 pm  
**Chair:** Paula O'Connor

#### Membership:

Councillors: C Anderson, P M Black, D W Helliwell, T J Hennegan, P R Hood-Williams, O G James, P K Jones, J W Jones, E T Kirchner, M B Lewis, S Pritchard, L V Walton and T M White

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Agenda		Page No.
1	Apologies for Absence.	
2	Disclosures of Personal and Prejudicial Interests. <a href="http://www.swansea.gov.uk/disclosuresofinterests">www.swansea.gov.uk/disclosuresofinterests</a>	
3	Minutes. To approve & sign the Minutes of the previous meeting(s) as a correct record.	1 - 7
4	Presentation - Update on Internal Control Environment (Including Risk Management) – Director of Social Services. (Dave Howes)	
5	Fundamental Audits 2018/19 - Recommendation Tracker. (Simon Cockings)	8 - 23
6	Wales Audit Office Proposals for Improvement: Six-month Status Update - December 2018 to June 2019. (For Information) (Richard Rowlands)	24 - 27
7	Overview of the Overall Status of Risk - Quarter 2 2019/20. (Richard Rowlands)	28 - 84
8	Treasury Management - Interim Year Review Report 2019/20. (For Information) (Jeff Dong)	85 - 107
9	Revenue and Capital Budget Monitoring - 2nd Quarter 2019/20.	108 - 127

(For Information) (Ben Smith)

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|----|--|-----------|
| 10 | Review of Revenue Reserves. (For Information) (Ben Smith)                      | 128 - 154 |
| 11 | Appointment of Additional Lay Member to Audit Committee.<br>(Simon Cockings)   | 155 - 164 |
| 12 | Audit Committee Action Tracker Report. (For Information)<br>(Jeremy Parkhouse) | 165 - 171 |
| 13 | Audit Committee Work Plan. (For Information) (Jeremy<br>Parkhouse)             | 172 - 187 |

**Next Meeting:** Wednesday, 29 January 2020 at 2.00 pm

*Huw Evans*

**Huw Evans**  
**Head of Democratic Services**  
**Tuesday, 3 December 2019**

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**Contact: Democratic Services: - 636923**